

03-30-01

A

Please type a plus (+) inside this box → ☐

PTO/SB/29 (12/97)

Approved for use through 09/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 5868-024-999 Total Pages 96

First Named Inventor or Application Identifier

Masahiko Niino

Express Mail Label No. EL 501 638 172 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 93]  
(preferred arrangement set forth below)  
-Descriptive title of the Invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed sponsored R&D  
-Reference to Microfiche Appendix  
-Background of the Invention  
-Brief Summary of the Invention  
-Brief Description of the Drawings (if filed)  
-Detailed Description of the Invention (including drawings, if filed)  
-Claim(s)  
-Abstract of the Disclosure
3. ☐ Drawing(s) (35 USC 113)
4. ☒ Oath or Declaration [Total Sheets 2]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]  
☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet & document(s)) ✓
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure ☒ Copies of IDS Statement (IDS)/PTO-1449 Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application, Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: filed.**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

20583 [Barcode] (Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

NAME

ADDRESS

CITY

STATE

ZIP CODE

COUNTRY

TELEPHONE

FAX

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



Express Mail No.: EL 501 638 172 US

**PENNIE & EDMONDS** LLP  
COUNSELLORS AT LAW  
1155 Avenue of the Americas  
New York, N.Y. 10036-2711  
(212) 790-9090

ATTORNEY DOCKET NO. 5868-024-999

Date: March 29, 2001

Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:  
The following utility patent application is enclosed for filing:

Applicant(s): Masahiko Niino, Hideki Nakamura, and Sumio  
Komatsu

Executed on: March 5, 2001

Title of Invention: POLYACETAL BLOCK COPOLYMER

**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	18	-20	0	\$18.00 each	\$ 0.00
Independent	3	-3	0	\$80.00 each	\$ 0.00
Minimum Fee					\$ 710.00
Multiple Dependency Fee If Applicable (\$270.00)					\$ 0.00
<b>Total</b>					\$ 710.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)					- \$ 0.00
<b>Total Filing Fee</b>					\$ 710.00

- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
- ☒ Priority of application no. 11-216654 filed on July 30, 1999 in Japan is claimed under 35 U.S.C. § 119.
- ☐ The certified copy of the priority application has been filed in application no. filed
- ☐ Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is

enclosed.

Respectfully submitted,

Charles E. Miller  
PENNIE & EDMONDS LLP

24,576  
(Reg. No.)

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.

Express Mail No.: EL 501 638 172 US  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: M. NIINO et al.

For: POLYACETAL BLOCK COPOLYMER

Attorney Docket No. 5868-024

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following documents are submitted herewith:

1. Utility Patent Application Transmittal and Application Fee Sheet;
2. English translation of PCT international application PCT/JP00/05019 filed July 27, 2000;
3. Declaration And Power Of Attorney;
4. Assignment and Assignment Recordation;
5. Information Disclosure Statement, List of References Cited By Applicant, and copies of references;
6. English translation of PCT International Search Report (PCT/ISA/210);
7. Copy of front page of PCT Publication WO 01/09213A1;
8. Copy of PCT Notice Informing The Applicant Of The Communication Of The International Application To The Designated Offices (PCT/IB/308);
9. Copy of PCT Notification Concerning Submission Of Priority Documents (PCT/IB/304); and
10. A Preliminary Amendment.

The benefit of the July 30, 1999 priority filing date of Japanese patent application No. JP 11-216654 is claimed under 35 U.S.C. § 119.

Please calculate the filing fee after the entrance of the preliminary amendment.

Respectfully submitted,

Date March 29, 2001



Charles E. Miller

24,576  
(Reg. No.)

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Attorney for Applicants

Enclosures